

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
16819

In Re Application Of: Ken-Ichirou NAKA, et. al.



Serial No. 10/615,172	Filing Date July 8, 2003	Examiner Unassigned	Group Art Unit Unassigned
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**Title: SEMI-TRANSMISSIVE-TYPE LIQUID CRYSTAL DISPLAY DEVICE AND  
METHOD FOR MANUFACTURING SAME**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

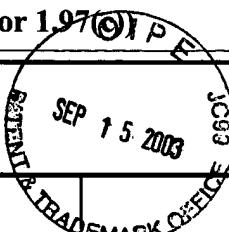
**OR**

- the fee set forth in 37 CFR 1.17(p).

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Unassigned

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Unassigned

**SEMI-TRANSMISSIVE-TYPE LIQUID CRYSTAL DISPLAY DEVICE AND  
METHOD FOR MANUFACTURING SAME**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.

Charge the amount of \_\_\_\_\_

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I certify that this document and fee is being deposited on September 10, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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\_\_\_\_\_  
Paul J. Esatto, Jr.

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\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Paul J. Esatto, Jr.

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Dated: September 10, 2003

CC:



PATENTS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** Ken-Ichirou NAKA, et. al.      **Docket:** 16819  
**Serial No:** 10/615,172      **Art Unit:** Unassigned  
**Filed:** July 8, 2003      **Dated:** September 10, 2003  
**For:** SEMI-TRANSMISSIVE-TYPE LIQUID  
CRYSTAL DISPLAY DEVICE AND  
METHOD FOR MANUFACTURING  
SAME

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

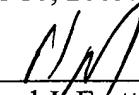
1. Japanese Patent Application No. 2001-221995, dated August 17, 2001.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 10, 2003.

Dated: September 10, 2003

  
Paul J. Esatto, Jr.

Applicants are submitting a copy of the above-cited reference. The relevance of the above-identified reference has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

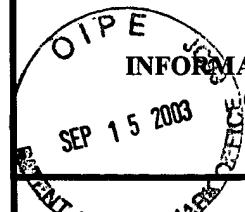
Respectfully submitted,



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## **INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

**Docket Number (Optional)**

16819

**Application Number**

10/615,172

**Applicant(s)**

**Filing Date**

July 8, 2003

## Group Art Unit

## Unassigned

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	2001-221995	8/17/01	Japan				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


## EXAMINER

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.